

# Mild oxidative cleavage of $\beta,\beta$ -carotene by dioxygen induced by a ruthenium porphyrin catalyst: characterization of products and of some possible intermediates†

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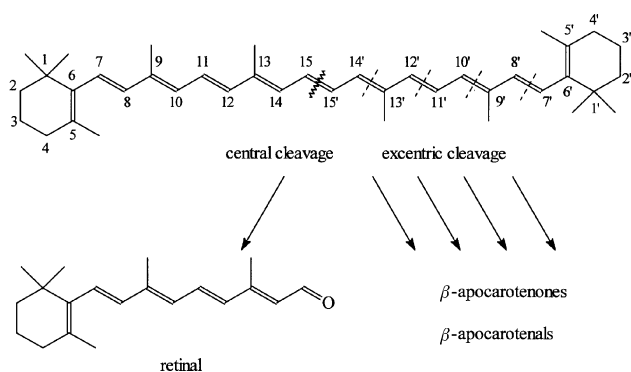
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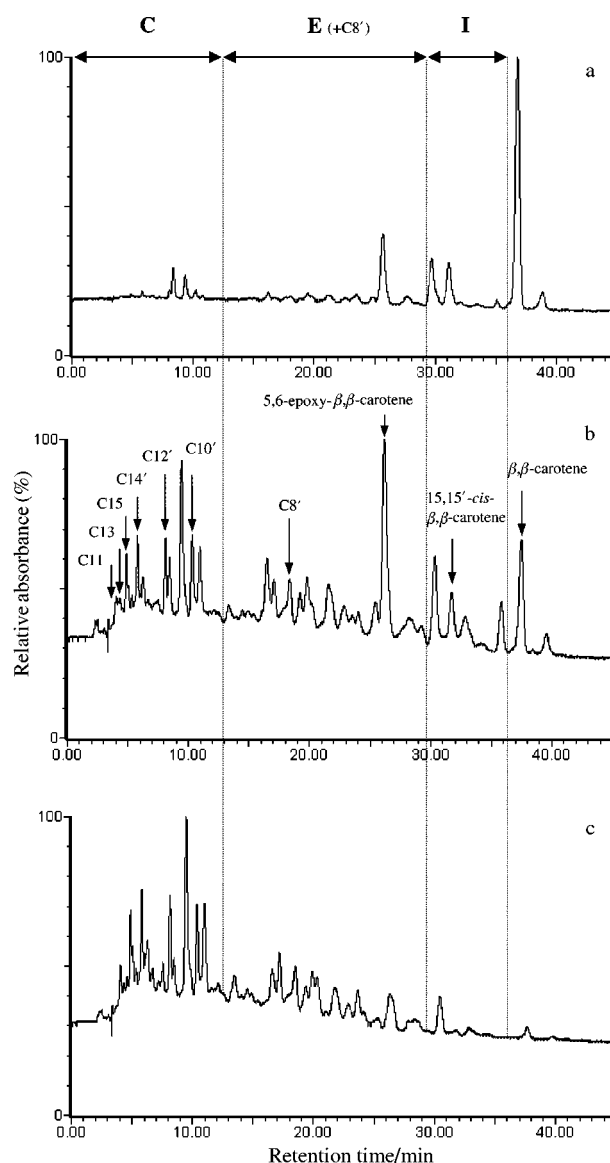
Mild oxidative cleavage of  $\beta,\beta$ -carotene by dioxygen is induced by a ruthenium tetramesitylporphyrin catalyst, and it leads to the full possible range of  $\beta$ -apocarotenals and  $\beta$ -apocarotenones. The slow reaction kinetics allow the sequence of events leading to double bond cleavage over a period of 24 h to be monitored by HPLC-DAD and HPLC-MS.

The beneficial effects of fruits and vegetables in the prevention of certain degenerative diseases such as cancers have been related to their carotenoid content.<sup>1</sup> Since these effects may be due to the intact pigments and/or to their metabolites, there is strong current interest in the catabolism of  $\beta,\beta$ -carotene and other carotenoids. Two distinct oxidative pathways (Scheme 1) are generally accepted for the catabolisation of  $\beta,\beta$ -carotene: (1) central cleavage by  $\beta,\beta$ -carotene-15,15'-dioxygenase producing retinal, and (2) excentric cleavage producing a series of shorter-chain compounds ( $\beta$ -apocarotenals,  $\beta$ -apocarotenones) with a terminal aldehyde or ketone function. Recently, Woggon *et al.*<sup>2</sup> have described a central cleavage mimic using a model catalytic system that exhibits a 15,15'-regioselectivity of about 40% in the oxidative cleavage of  $\beta,\beta$ -carotene by *tert*-butylhydroperoxide (TBHP). Herein we report on the excentric cleavage of  $\beta,\beta$ -carotene using molecular oxygen as oxidant and a ruthenium tetramesitylporphyrin



**Scheme 1** The two distinct oxidation pathways for the catabolism of  $\beta,\beta$ -carotene, (top).

† Electronic supplementary information (ESI) available: full experimental details and UV-visible spectra of 15,15'-*cis*- $\beta,\beta$ -carotene and  $\beta,\beta$ -carotene-5,6-epoxide. See <http://www.rsc.org/suppdata/nj/b0/b006975m/>



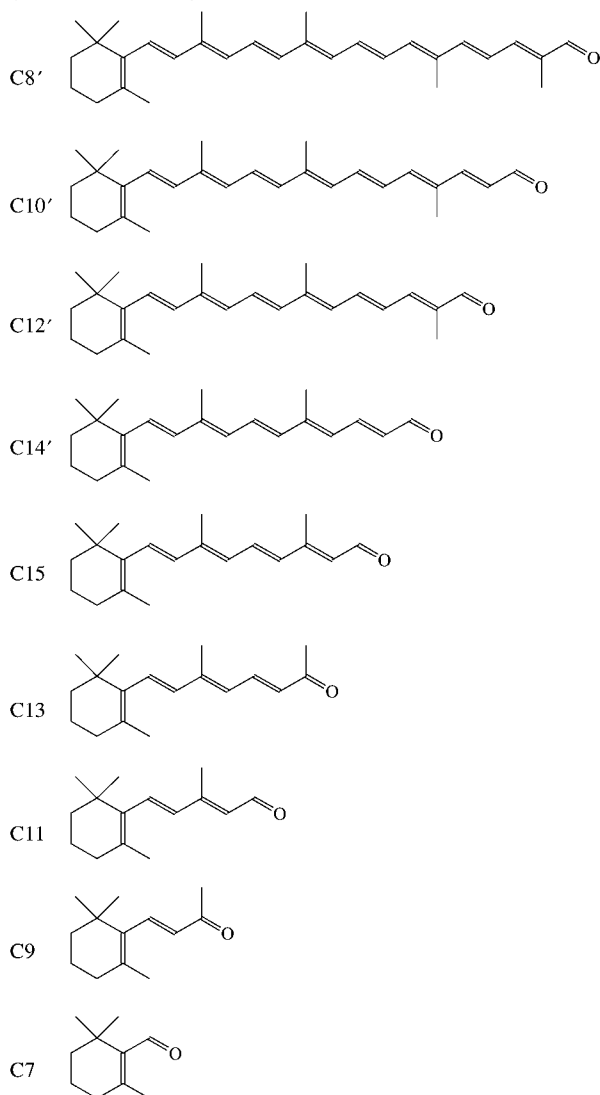
**Fig. 1** Chromatogram of the reaction mixture after (a) 1, (b) 6 and (c) 24 h of catalytic oxidation of  $\beta,\beta$ -carotene by  $\text{Ru}(\text{O})_2(\text{TMP})$ -air (detection at 450 nm). The retention time ranges for each group of products are indicated: I, *cis*-isomers; E, epoxides; C, cleavage products. Identified compounds absorbing at 450 nm are indicated. See legend of Scheme 2 for abbreviations.

catalyst and on the identification of the various oxidation products using HPLC-DAD (diode array detector) and HPLC-MS. With these analytical techniques, it has been possible for the first time to monitor the sequence of events leading to the cleavage of  $\beta,\beta$ -carotene.

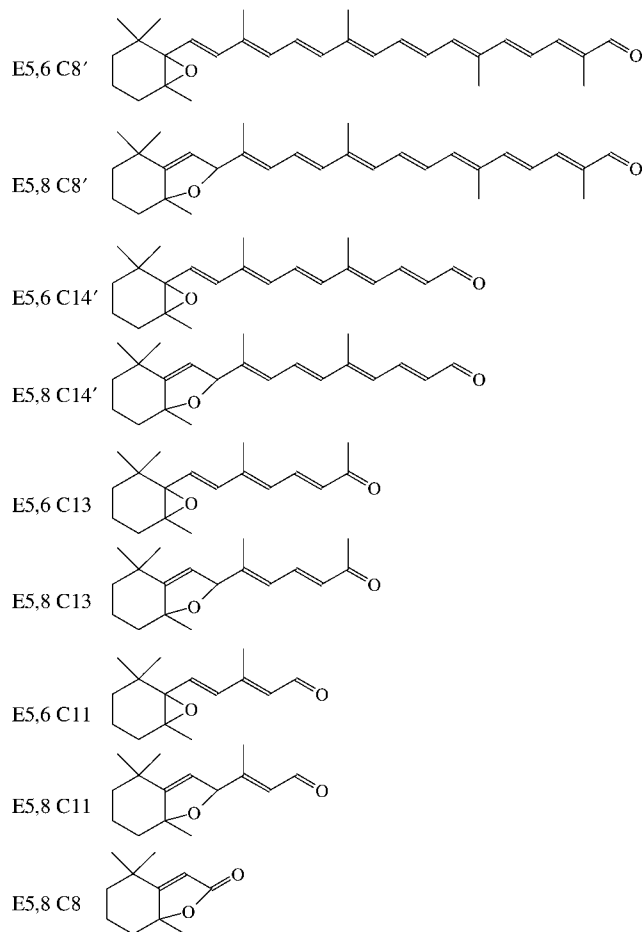
The ability of ruthenium tetramesitylporphyrin complexes to catalyse oxygen atom transfer from dioxygen to various alkenes in benzene solution is well documented.<sup>3–5</sup> Addition of 2 equiv. of *meta*-chloroperbenzoic acid, MCPBA, to carbonyl(5,10,15,20-tetramesitylporphyrinato)ruthenium(II), Ru(CO)(TMP), generates *in situ* the *trans*-dioxo(5,10,15,20-tetramesitylporphyrinato)ruthenium(VI), Ru(O)<sub>2</sub>(TMP), active species, which effects alkene epoxidation and is regenerated by subsequent reaction with atmospheric oxygen.<sup>3</sup> The behaviour of  $\beta,\beta$ -carotene as a substrate of this catalytic system was examined under the conditions previously described.<sup>6</sup> All

experiments were carried out under dim light to avoid photoinduced isomerisation and/or degradation of  $\beta,\beta$ -carotene. The evolution of the reaction mixture was followed over 24 h with HPLC-DAD and HPLC-MS. Suitable controls confirmed the stability of  $\beta,\beta$ -carotene toward the components of the catalytic system [Ru(CO)(TMP), MCPBA] taken separately over the same period. Gentle catalytic oxidation was observed and numerous products were detectable at 450 nm after 1 h. The chromatographic profile changed with time until almost complete disappearance of  $\beta,\beta$ -carotene after 24 h; the chromatograms obtained after 1, 6 and 24 h are illustrated in Fig. 1. Plausible structures for the various products separated by HPLC were assigned on the basis of mass spectral data and comparison of UV-visible spectral characteristics with compounds described in the literature. The products derived from  $\beta,\beta$ -carotene could be classified into

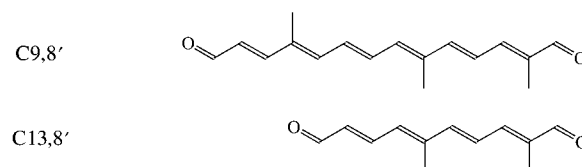
#### $\beta$ -apocarotenals and $\beta$ -apocarotenones



#### epoxy- $\beta$ -apocarotenals and epoxy- $\beta$ -apocarotenones



#### Diapocarotene-dials



**Scheme 2** Structures of the cleavage products detected upon catalytic oxygenation of  $\beta,\beta$ -carotene by Ru(O)<sub>2</sub>(TMP)–air. Abbreviations: C<sub>n</sub>, product of single cleavage at position *n*; E5,6(8) C<sub>n</sub>, cleavage product at position *n* also containing an epoxy group at 5,6 (or 5,8); C<sub>n,n'</sub>, product of double cleavage at positions *n* and *n'*.

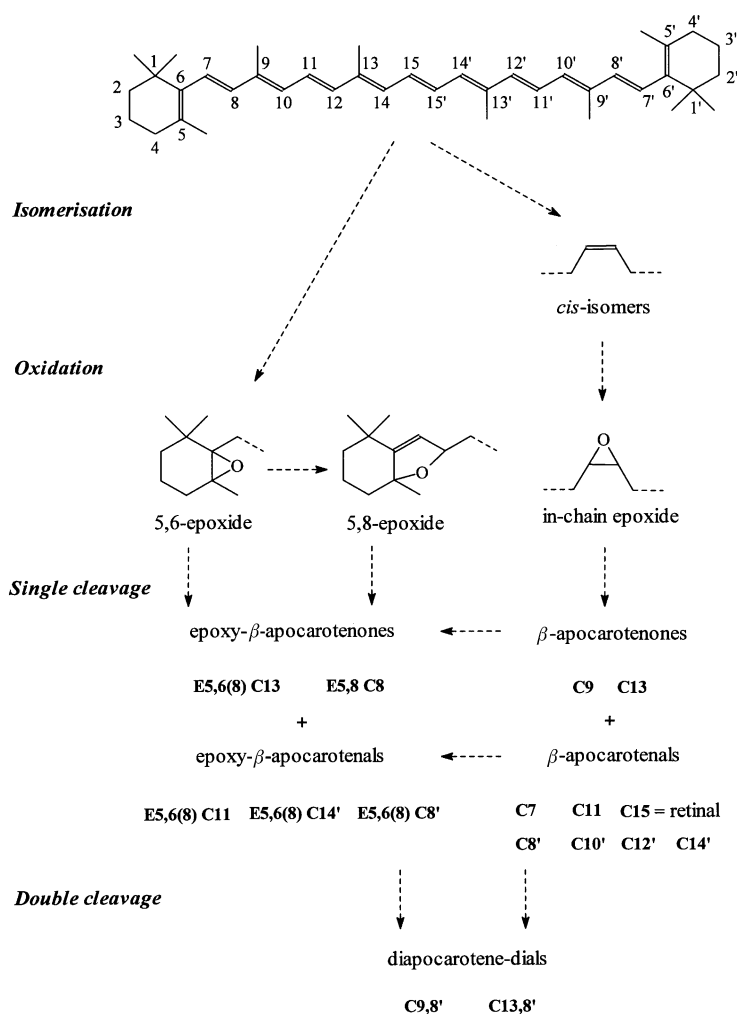
three groups: *cis*-isomers (I), epoxides (E) and cleavage products (C). The structures of the detected products are summarised in Scheme 2.

Examination of the relative chromatographic peak heights after 1, 6 and 24 h gave hints on the possible oxidation pathways. The most abundant products detected after 1 h were  $\beta,\beta$ -carotene *cis*-isomers (among them the 15,15'-*cis*-isomer) and epoxides (mainly the 5,6-, but also in-chain epoxides); low-abundance cleavage products ( $\beta$ -apocarotenals and  $\beta$ -apocarotenones) were also seen. Epoxides, as well as cleavage compounds, became more abundant after 6 h (see Fig. 1). After 24 h, the  $\beta,\beta$ -carotene substrate was almost completely consumed, *cis*-isomers and epoxides, mainly the 5,6-epoxide, were less abundant whereas cleavage compounds became relatively more abundant. These observations suggest that *cis*-isomers and in-chain epoxides of  $\beta,\beta$ -carotene are potential precursors of the Cn cleavage products (see Scheme 2) in this catalytic system. A *cis*-olefin is known to be at least 10 times more reactive than the *trans*-isomer in a competitive oxidation by  $\text{Ru}(\text{O})_2(\text{TMP})^3$  and *cis* double bonds located on the 9, 13 and 15 positions of the unsaturated chain of  $\beta,\beta$ -carotene are thermodynamically favoured.<sup>7</sup> Thus, isomerisation followed by epoxidation and subsequent cleavage might be prevalent at these positions.

Products of double oxidation were also detected. In addition to their terminal carbonyl group, epoxy- $\beta$ -apo-

carotenones and epoxy- $\beta$ -apocarotenals E5,6(8) Cn (see Scheme 2) contain either a 5,6-epoxy or a so-called 5,8-epoxy group<sup>7</sup> (a 5-membered cyclic ether). Both types of products can derive from 5,6-epoxy- $\beta,\beta$ -carotene by cleavage and/or epoxide-furanoid rearrangement.<sup>8</sup> Finally the diapocarotene dials Cn,n' are likely derived from the cleavage of in-chain epoxides of  $\beta,\beta$ -carotene. Possible filiations between the detected compounds are summarised in Scheme 3.

In conclusion, this work has shown that mild oxidative cleavage of  $\beta,\beta$ -carotene by dioxygen is induced by a ruthenium tetramesitylporphyrin catalyst. The complete range of  $\beta$ -apocarotenals and  $\beta$ -apocarotenones that can possibly be formed from  $\beta,\beta$ -carotene have been detected, as well as several other cleavage compounds and possible intermediates. The slow reaction kinetics allowed the sequence of events leading to double bond cleavage over a period of 24 h to be monitored by HPLC-DAD and HPLC-MS. Plausible filiations between the various products of this catalytic system are suggested on the basis of these sequential steps. The ruthenium tetramesitylporphyrin catalyst appears to be a good mimic of the putative enzyme that effects excentric cleavage of  $\beta,\beta$ -carotene by dioxygen *in vivo*. Further investigations are in progress on this and other metalloporphyrin systems, in order to understand the mechanisms of this oxidative cleavage, including a possible metal-<sup>9</sup> or acid-catalysed<sup>10</sup> *trans*-*cis* isomerisation prior to epoxidation.



**Scheme 3** Possible filiations between cleavage products derived from  $\beta,\beta$ -carotene upon catalytic oxygenation by  $\text{Ru}(\text{O})_2(\text{TMP})$ -air. See legend of Scheme 2 for abbreviations.

## Acknowledgements

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## Notes and references

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